



UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Takayuki DENO et al.

Serial No.: 10/042,185

Filed: January 11, 2002

For: OPTICAL DISC SUBSTRATE AND
MANUFACTURING METHOD OF
OPTICAL DISC MASTER FOR
MANUFACTURING THE OPTICAL DISC
SUBSTRATE

Art Unit: To be assigned

Examiner: To be assigned

Atty Docket: 21994/0038

RESPONSE TO "NOTICE TO FILE MISSING PARTS"

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION**
dated February 6, 2002, Applicants submit herewith the following documents for
appropriate action by the U.S. Patent and Trademark Office:

1. Copy of the Notice to File Missing Parts;
2. Original executed Declaration;
3. The Director is authorized to charge Deposit Account No. 22-0185

\$ 994.00 covering:

\$ 740.00 - Base Filing fee,

\$ 84.00 - 1 Independent claim over 3

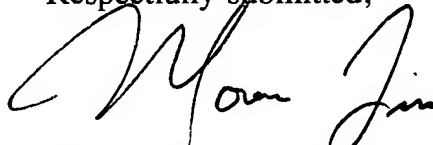
\$ 130.00 - Surcharge for late filing of Declaration

\$ 40.00 - Assignment recordation fee, and

an Information Disclosure Statement

The Director is hereby authorized to charge any of the above fees, as well as any insufficient fees, including any fees required under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 22-0185. A duplicate copy of this authorization is also attached.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Morris Liss", written over the typed name.

Morris Liss, Reg. No. 24,510
Connolly Bove Lodge & Hutz LLP
1990 M Street, N.W.
Washington, D.C. 20036-3425
Telephone: 202-331-7111

Date: 3/22/02

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1994-038

missing parts
4-6-02

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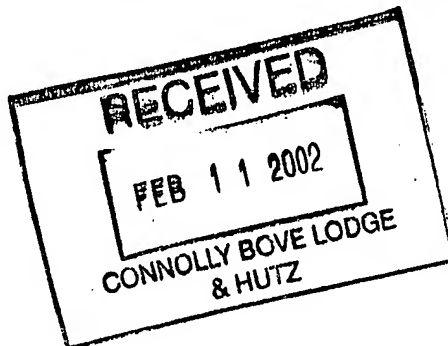


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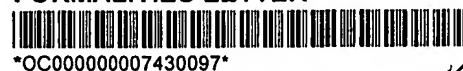
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/042,185	01/11/2002	Takayuki Deno	21994/0038

Morris Liss
Connolly Bove Lodge & Hutz LLP
Suite 800
1990 M Street, N.W.
Washington, DC 20036-3425



CONFIRMATION NO. 6230
FORMALITIES LETTER



OC000000007430097

Date Mailed: 02/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(i) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 954.**

*A copy of this notice **MUST** be returned with the reply.*

H. Trong

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

10/042185
03/26/2002 NROCHA1 00000083 220185
01 FC:101 740.00 CH
02 FC:102 84.00 CH
03 FC:105 130.00 CH